# **Low-Density Parity-Check Codes**

## **Part I - Introduction and Overview**

William Ryan, Professor

Electrical and Computer Engineering Department The University of Arizona Box 210104 Tucson, AZ 85721 <u>ryan@ece.arizona.edu</u>

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• note that the parity-check matrix *H* is so called because it performs m = n-k separate parity checks on a received word  $\overline{y} = \overline{c} + \overline{e}$ 

**Example.** With  $H^T$  as given above, the n-k = 3 parity checks implied by

$$\overline{y}H^T \stackrel{?}{=} \overline{0}$$

are

У0	+	У1	+	У2	+	У4	?	0
У0	+	У1	+	У3	+	У5	?	0
У0	+	У2	+	У3	+	У6	?	0

**Definition.** A *low-density parity-check* (LDPC) *code* is a linear block code for which the parity-check matrix *H* has a low density of 1's

## Low-Density Parity-Check Codes (cont'd)

**Definition.** A regular (*n*, *k*) LDPC code is a linear block code whose paritycheck matrix *H* contains exactly  $W_c$  1's per column and exactly  $W_r = W_c(n/m)$ 1's per row, where  $W_c \ll m$ .

## **Remarks**

• note multiplying both sides of  $W_c \ll m$  by n/m implies  $W_r \ll n$ .

•the code rate r = k/n can be computed from  $r = \frac{W_r - W_c}{W_r} = 1 - \frac{W_c}{W_r}$  $W_c = 3$  is a necessity for good codes (Gallager)

• if *H* is low density, but the number of 1's per column or row is not constant, the code is an <u>irregular LDPC code</u>

LDPC codes were invented by Robert Gallager of MIT in his PhD dissertation (1960). They received virtually no attention from the coding community until the mid-1990's.

## **Representation of Linear Block Codes via Tanner Graphs**

• one of the very few researchers who studied LDPC codes prior to the recent resurgence is Michael Tanner of UC Santa Cruz

• Tanner considered LDPC codes (and a generalization) and showed how they may be represented effectively by a so-called bipartite graph, now call a <u>Tanner graph</u>

**Definition.** A <u>bipartite</u> <u>graph</u> is a graph (nodes or vertices connected by undirected edges) whose nodes may be separated into two classes, and where edges may only connect two nodes not residing in the same class

• the two classes of nodes in a Tanner graph are the <u>variable</u> <u>nodes</u> (or <u>bit</u> <u>nodes</u>) and the <u>check nodes</u> (or <u>function</u> <u>nodes</u>)

• the Tanner graph of a code is drawn according to the following rule:

check node *j* is connected to variable node *i* whenever element  $h_{ii}$  in *H* is a 1

• one may deduce from this that there are m = n-k check nodes and n variable nodes

• further, the *m* rows of *H* specify the *m* c-node connections, and the *n* columns of *H* specify the *n* v-node connections

## Tanner Graphs (cont'd)

**Example.** (10, 5) block code with  $W_c = 2$  and  $W_r = W_c(n/m) = 4$ .



• observe that nodes  $c_0$ ,  $c_1$ ,  $c_2$ , and  $c_3$  are connected to node  $f_0$  in accordance with the fact that in the first row of *H*,  $h_{00} = h_{01} = h_{02} = h_{03} = 1$  (all others equal zero)

- (for convenience, the first row and first col of *H* are assigned an index of 0)
- observe an analogous situation for  $f_{1,}f_{2,}f_{3,}$  and  $f_{4}$ .

• thus, as follows from the fact that  $\bar{c}H^T = \bar{0}$ , the bit values connected to the same check node must sum to zero

• note that the Tanner graph in this example is regular: each bit node is of degree 2 (has 2 edge connections and each check node is of degree 4)

- this is in accordance with the fact that  $W_c = 2$  and  $W_r = 4$
- we also see from this why  $W_r = W_c(n/m)$  for regular LDPC codes:

(# v-nodes) x (v-node degree) =  $nW_c$ must equal (#c-nodes) x (c-node degree) =  $mW_r$ 

## Tanner Graphs (cont'd)

**Definition.** A <u>cycle</u> of length / in a Tanner graph is a path comprising / edges which closes back on itself

• the Tanner graph in the above example possesses a length-6 cycle as made evident by the 6 bold edges in the figure

**Definition.** The <u>girth</u> of a Tanner graph is the minimum cycle length of the graph

• the shortest possible cycle in a bipartite graph is clearly a length-4 cycle

• length-4 cycles manifest themselves in the *H* matrix as four 1's that lie on the corners of a submatrix of *H*: a = b



• length-6 cycles are not quite as easily found in an H matrix:



 we are interested in cycles, particularly short cycles, because they degrade the iterative decoding algorithm for LDPC codes as will be made evident below

## **Construction of LDPC Codes**

 clearly, the most obvious path to the construction of an LDPC code is via the construction of a low-density parity-check matrix with prescribed properties

- a number of design techniques exist in the literature, and we list a few:
  - Gallager codes (semi-random construction)
  - MacKay codes (semi-random construction)

• irregular and constrained-irregular LDPC codes (Richardson and Urbanke, Jin and McEliece, Yang and Ryan, Jones and Wesel, ...)

- finite geometry-based LDPC codes (Kou, Lin, Fossorier)
- combinatorial LDPC codes (Vasic *et al.*)
- LDPC codes based on array codes (Fan)

## **Gallager Codes**

## Example.

1	1	1	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	1	1	1	1	0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	1	1	1	1	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0	0	0	1	1	1	1	0	0	0	0
0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1	1	1
1	0	0	0	1	0	0	0	1	0	0	0	1	0	0	0	0	0	0	0
0	1	0	0	0	1	0	0	0	1	0	0	0	0	0	0	1	0	0	0
0	0	1	0	0	0	1	0	0	0	0	0	0	1	0	0	0	1	0	0
0	0	0	1	0	0	0	0	0	0	1	0	0	0	1	0	0	0	1	0
0	0	0	0	0	0	0	1	0	0	0	1	0	0	0	1	0	0	0	1
1	0	0	0	0	1	0	0	0	0	0	1	0	0	0	0	0	1	0	0
0	1	0	0	0	0	1	0	0	0	1	0	0	0	0	1	0	0	0	0
0	0	1	0	0	0	0	1	0	0	0	0	1	0	0	0	0	0	1	0
0	0	0	1	0	0	0	0	1	0	0	0	0	1	0	0	1	0	0	0
0	0	0	0	1	0	0	0	0	1	0	0	0	0	1	0	0	0	0	1

Figure 2.1: Example of a low-density code matrix for n = 20, j = 3, and k = 4.

#### captured from Gallager's dissertation

 $j = W_c$  and  $k = W_r$ 

## Gallager Codes (cont'd)

• The *H* matrix for a Gallager code has the general form:

$$H = \begin{bmatrix} H_1 \\ H_2 \\ \dots \\ H_{W_c} \end{bmatrix}$$

where  $H_1$  is  $p \ge p \cdot W_r$  and has row weight  $W_r$ , and the submatrices  $H_i$  are column-permuted versions of  $H_1$ .

- Note H has column weight  $W_c$  and row weight  $W_r$ .
- The permutations must be chosen s. t. length-4 (and higher, if possible) cycles are avoided and the minimum distance of the code is large.
- Codes designs are often performed via computer search. Also see the 2nd edition of Lin and Costello (Prentice-Hall, 2004).

## **Tanner Codes**

• each bit node is associated with a code bit; each "check node" is associated with a subcode whose length is equal to the degree of the node.



## **Construction of LDPC Codes (cont'd)**

## Tanner Codes (cont'd)

Example



## **Construction of LDPC Codes (cont'd)**

## MacKay Codes

following MacKay (1999), we list ways to semi-randomly generate sparse matrices *H* in order of increasing algorithm complexity (but not necessarily improved performance)

**1.** *H* generated by starting from an all-zero matrix and randomly inverting  $W_c$  not necessarily distinct bits in each column (the resulting LDPC code will be irregular)

**2.** *H* generated by randomly creating weight- $W_c$  columns

**3.** *H* generated with weight- $W_c$  columns and (as near as possible) uniform row weight

**4.** *H* generated with weight- $W_c$  columns, weight- $W_r$  rows, and no two columns having overlap greater than one

5. *H* generated as in (4), plus short cycles are avoided

**6.** *H* generated as in (5), plus  $H = [H_1 \ H_2]$  is constrained so that  $H_2$  is invertible (or at least H is full rank)

see http://www.inference.phy.cam.ac.uk/mackay/ for MacKay's large library of codes

## MacKay Codes (cont'd)

- frequently an *H* matrix is obtained that is not full rank
- this is generally not a problem which can be seen as follows:
  - once *H* is constructed, we attempt to put it in the form  $H = [P^T \ I]_{mxn}$  via Gauss-Jordan elimination (and possible column swapping) so that we may encode via  $G = [I \ P]$
  - if H is not full rank, Gauss-Jordan elimination will result in H of the form  $H = \begin{bmatrix} \tilde{P}^T & I \\ 0 & 0 \end{bmatrix}$

where 
$$\tilde{P}^T$$
 is  $m' \mathbf{x} (n - m')$  and  $m' < \mathbf{m}$ 

• in this latter case, we instead use the matrix  $\tilde{H} = [\tilde{P}^T \vdots I]$  which is  $m' \ge n$ and corresponds to a higher code rate, (n-m')/n, than the original *design rate*, (n-m)/n.

## **Repeat-Accumulate (RA) Codes**

• the codes are "turbo-like" and are simple to design and understand (albeit, they are appropriate only for low rates)



## RA Codes (cont'd)

• for 
$$q = 3$$
,  

$$H^{T} = A^{-1} \cdot \Pi^{-1} \cdot \begin{bmatrix} I_{k} & I_{k} \\ I_{k} & I_{k} \\ & I_{k} \end{bmatrix}$$

(others are possible)

• note since 
$$A \Leftrightarrow \frac{1}{1 \oplus D}$$
,  $A^{-1} \Leftrightarrow 1 \oplus D$  so that

$$A^{-1} = \begin{bmatrix} 1 & 1 & & & \\ & 1 & 1 & & \\ & & 1 & \ddots & \\ & & & \ddots & 1 \\ & & & & 1 \end{bmatrix}$$

## RA Codes (cont'd)

• an alternative graph to the Tanner graph corresponding to *H* above is



## Irregular Repeat-Accumulate (IRA) Codes

• graphical representation:



## IRA Codes (cont'd)

• non-systematic version:

codeword is parity word and rate is k/p, p > k

• systematic version:

codeword is data word concatenated with parity word, rate is k/(k+p)



## **Extended Irregular Repeat Accumulate (eIRA) Codes**

- *H* is matrix is given below, where the column weight of  $H_1$  is > 2
- note encoding may be performed directly from the H matrix by recursively solving for the parity bits



• This matrix holds for both eIRA codes and IRA codes. What is different is the size of  $H_1^{T}$ . eIRA:  $k \ge (n-k)$ ; IRA:  $k \ge p$ , p > k since it is a *G* matrix

## elRA Codes (cont'd)

- can easily show that  $G = \begin{bmatrix} I & H_1^T A \end{bmatrix}$ , from which encoder below follows
- always systematic
- appropriate for high code rates



## **Accumulator-Based LDPC Codes – Tanner Graph**



	$d_{b,i}$	$d_{c,i}$	<i>m</i> , <i>k</i>
RA	q	1	m > k, m = qk
IRA	variable	fixed, $\alpha \ge 1$	m > k
eIRA	variable	variable	$m \ge 1, k \ge 1$





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### **Array Codes**

• The parity-check matrix structure for the class of array codes is

$$H = \begin{bmatrix} I & I & I & \dots & I \\ I & \alpha & \alpha^2 & & \alpha^{k-1} \\ I & \alpha^2 & \alpha^{2\times 2} & & \alpha^{2\times(k-1)} \\ I & \alpha^{j-1} & \alpha^{(j-1)\times 2} & & \alpha^{(j-1)\times(k-1)} \end{bmatrix} \xrightarrow{\text{simplified}} H = \begin{bmatrix} 0 & 0 & 0 & \dots & 0 \\ 0 & 1 & 2 & & k-1 \\ 0 & 2 & 4 & & 2(k-1) \\ 0 & j-1 & (j-1)2 & (j-1)(k-1) \end{bmatrix}$$

where *I* is the identity matrix and  $\alpha$  is a *p*-by-*p* left- (or right-) cyclic shift of the identity matrix *I* by one position (*p* a prime integer), and  $\alpha^0 = I$ , and  $\alpha^{-1} = \text{zero matrix}$ .  $\begin{bmatrix} 1 \\ 1 \\ 1 \\ 1 \end{bmatrix} \text{ or } \alpha = \begin{bmatrix} 1 \\ 1 \\ 1 \\ 1 \end{bmatrix}$ 

## Array Codes (cont'd)

- Add the  $j \ge j$  "dual diagonal" submatrix  $H_p$  corresponding to the parity check bits
- Delete the first column of the above *H* matrix to remove length-4 cycles
- We obtain the new *H* matrix:

$$H = \begin{bmatrix} 0 & 0 & -1 & \dots & -1 & 0 & 0 & \dots & \dots & 0 \\ -1 & 0 & 0 & \dots & 1 & 2 & & k \\ & & \dots & & 2 & 4 & & 2k \\ -1 & \dots & & 0 & 0 & \dots & \dots & & \dots \\ -1 & \dots & & -1 & 0 & j-1 & 2(j-2) & \dots & \dots & (j-1)k \end{bmatrix}$$

- the code rate is k/(j+k), the right  $j \ge k$  submatrix  $H_i$  corresponds to the information bits
- note that we may now encode using the H matrix by solving for the parity bits recursively: **efficient encoding** (more on this below)

## **Codes Based on Finite Geometries and Combinatorics**

- The mathematics surrounding these codes is beyond the scope of this short course.
- Please see:
  - Shu Lin and Daniel Costello, *Error Control Coding*, Prentice-Hall, 2004.
  - The papers by Shu Lin and his colleagues.
  - The papers by Bane Vasic and his colleagues.
  - The papers by Steve Weller and his colleagues.

## **Codes Designed Using Density Evolution and Related Techniques**

- The mathematics surrounding these codes is beyond the scope of this short course.
- Please see:
  - the papers by Richardson and Urbanke (IEEE Trans. Inf. Thy, Feb. 2001)
  - the papers by ten Brink
  - the papers by Wesel

## Encoding

• as discussed above, once *H* is generated, it may be put in the form

 $\widetilde{H} = [\widetilde{P}^T : I]$ 

from which the systematic form of the generator matrix is obtained:

 $G = \begin{bmatrix} I \vdots P \end{bmatrix}$ 

encoding is performed via

$$\overline{c} = \overline{u}G = \left[\overline{u} \ \vdots \ \overline{u}P\right],$$

although this is more complex than it appears for capacity-approaching LDPC codes (n large)

**Example.** Consider a (10000, 5000) linear block code. Then G = [I:P] is 5000 x 10000 and *P* is 5000 x 5000. We may assume that the density of ones in *P* is ~ 0.5.

 $\Rightarrow$  there are ~ 0.5(5000)<sup>2</sup> = 12.5 x 10<sup>6</sup> ones in P

 $\Rightarrow$  ~ 12.5 x 10<sup>6</sup> addition (XOR) operations are required to encode one codeword

• Richard and Urbanke (2001) have proposed a lower complexity (linear in the code length) encoding technique based on the *H* matrix (not to be discussed here)

• an alternative approach to simplified encoding is to design the codes via algebraic, geometric, or combinatoric methods

• such "structured" codes are often cyclic or quasi-cyclic and lend themselves to simple encoders based on shift-register circuits

since they are simultaneously LDPC codes, the same decoding algorithms apply

 often these structured codes lack freedom in the choice of code rate and length

• an alternative to structured LDPC codes are the constrained irregular codes of Jin and McEliece and Yang and Ryan (also called irregular repeat-accumulate (IRA) and extended IRA codes) -- more on this later

• we present here selected performance curves from the literature to demonstrate the efficacy of LDPC codes

• the papers from which these plots were taken are listed in the reference section at the end of the note set

• we indicate the paper each plot is taken from to ensure proper credit is given (references are listed at the end of Part 2).

#### Selected Results (cont'd)

MacKay (March 1999, Trans IT)

• MacKay (and others) reinvented LDPC codes in the late 1990's

• here are selected figures from his paper (see his paper for code construction details; his codes are regular or nearly regular)



Fig. 11. Short-blocklength Gallager codes' performance over Gaussian channel (solid curves) compared with that of standard textbook codes (dotted curves). Vertical axis shows empirical bit error probability. It should be emphasised that *all* the block errors in the experiments with Gallager codes were *detected* errors: the decoding algorithm reported the fact that it had failed. **Textbook codes:** as in Fig. 9. **Gallager codes:** From left to right the codes had the following parameters (N, K, R): (1008, 504, 0.5) (Construction 1A); (504, 252, 0.5) (1A).

#### Selected Results (cont'd)



Fig. 9. Gallager codes' performance over Gaussian channel (solid curves) compared with that of standard textbook codes and state-of-the-art codes (dotted curves). Vertical axis shows empirical bit error probability. It should be emphasised that *all* the block errors in the experiments with Gallager codes were *detected* errors: the decoding algorithm reported the fact that it had failed. Panel (a) shows codes with rates between about 1/2 and 2/3; panel (b) shows codes with rates between 1/4 and 1/3. Textbook codes: The curve labeled (7, 1/2) shows the performance of a rate 1/2 convolutional code with constraint length 7, known as the *de facto* standard for satellite communications [29]. The curve (7,1/2)C shows the performance of the concatenated code composed of the same convolutional code and a Reed–Solomon code. State of the art: The curve (15,1/4)C shows the performance of an extremely expensive and computer intensive concatenated code developed at JPL based on a constraint length 15, rate 1/4 convolutional code (data courtesy of R. J. McEliece.) The curves labeled Turbo show the performance of the rate 1/2 Turbo code described in [12], [11] and the rate 1/4 code reported in [21]. Gallager codes: From left to right the codes had the following parameters (N, K, R). Panel (a): (65389, 32621, 0.499) (1B); (19839, 9839, 0.496) (1B); (29331, 19331, 0.659) (1B). Panel (b): (40000, 10000, 0.25) (Construction 2A); (29507, 9507, 0.322) (2B); (14971, 4971, 0.332) (2B); (15000, 5000, 0.333) (2A); (13298, 3296, 0.248) (1B).

#### Selected Results (cont'd)



Fig. 9. Gallager codes' performance over Gaussian channel (solid curves) compared with that of standard textbook codes and state-of-the-art codes (dotted curves). Vertical axis shows empirical bit error probability. It should be emphasised that *all* the block errors in the experiments with Gallager codes were *detected* errors: the decoding algorithm reported the fact that it had failed. Panel (a) shows codes with rates between about 1/2 and 2/3; panel (b) shows codes with rates between 1/4 and 1/3. Textbook codes: The curve labeled (7, 1/2) shows the performance of a rate 1/2 convolutional code with constraint length 7, known as the *de facto* standard for satellite communications [29]. The curve (7,1/2)C shows the performance of the concatenated code composed of the same convolutional code and a Reed–Solomon code. State of the art: The curve (15,1/4)C shows the performance of an extremely expensive and computer intensive concatenated code developed at JPL based on a constraint length 15, rate 1/4 convolutional code (data courtesy of R. J. McEliece.) The curves labeled Turbo show the performance of the rate 1/2 Turbo code described in [12], [11] and the rate 1/4 code reported in [21]. Gallager codes: From left to right the codes had the following parameters (N, K, R). Panel (a): (65389, 32621, 0.499) (1B); (19839, 9839, 0.496) (1B); (29331, 19331, 0.659) (1B). Panel (b): (40000, 10000, 0.25) (Construction 2A); (29507, 9507, 0.322) (2B); (14971, 4971, 0.332) (2B); (15000, 5000, 0.333) (2A); (13298, 3296, 0.248) (1B).

## Irregular LDPC Codes

• our discussions above favored regular LDPC codes for their simplicity, although we gave examples of irregular LDPC codes

• recall an LDPC code is irregular if the number of 1's per column of *H* and/or the number of 1's per row of *H* varies

• in terms of the Tanner graph, this means that the v-node degree and/or the c-node degree is allowed to vary (the <u>degree</u> of a node is the number of edges connected to it)

• a number of researchers have examined the optimal degree distribution among nodes:

- MacKay, Trans. Comm., October 1999
- Luby, et al., Trans. IT, February 2001
- Richardson, et al., Trans. IT, February 2001
- Chung, et al., Comm. Letters, February 2001

• the results have been spectacular, with performance surpassing the best turbo codes
#### **Richardson et al. Irregular Codes**

• the plots below are for a (3, 6) – regular LDPC code, an optimized irregular LDPC code, and a turbo code

• the code parameters are  $\frac{1}{2}$  (10<sup>6</sup>, 10<sup>6</sup>/2) in all cases



#### Richardson et al. Irregular Codes (cont'd)

• plot below: turbo codes (dashed) and irregular LDPC codes (solid); for block lengths of  $n=10^3$ ,  $10^4$ ,  $10^5$ , and  $10^6$ ; all rates are  $\frac{1}{2}$ 



### Chung et al. Irregular LDPC Code

• the plot below is of two separate  $\frac{1}{2}$  (10<sup>7</sup>, 10<sup>7</sup>/2) irregular LDPC codes



### Kou et al. LDPC Codes (IEEE Trans. IT, Nov 2001)

- LDPC code based on Euclidean geometries (EG): (1023, 781)
- LDPC code based on Projective geometries (PG):
- Gallager code: (1057, 813)



### Selected Results (cont'd)

#### Kou et al. (cont'd)



Fig. 2. Bit-error probabilities of the (255, 175) EG-LDPC code, (273, 191) PG-LDPC code and two computer generated (273, 191) Gallager codes with the SPA decoding.

### Selected Results (cont'd)

### Kou et al. (cont'd)



Fig. 10. Bit- and block-error probabilities of the extended (65520, 61425) EG-LDPC code with the SPA decoding.

### Extended IRA Code Results (Yang, Ryan, and Li - 2004)



### Selected Results (cont'd)

### Extended IRA Code Results (cont'd)



# SeIRA Code - Example 3

Rate-0.8 (5120, 4096) SeIRA code

Rate-0.8 (5120, 4096) unstructured eIRA code

HW simulation results for the 0.8(5120, 4096) structured code.

The last data point for HW simulation corresponds to 1 error event in 730 million simulated codewords



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# SeIRA Code - Example 4

Rate-0.5, (2048, 1024) SeIRA code

Hardware (HW) and software (SW) simulation results

Floor at 1e-9 due to trapping sets (not d<sub>min</sub>)



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# SeIRA Code - Example 4 (cont'd)

Hardware simulations of 0.5(2048, 1024) LDPC codes

Acknowledgment: Chris Jones, JPL



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# Low-Density Parity-Check Codes Part II - The Iterative Decoder

William Ryan, Professor

Electrical and Computer Engineering Department The University of Arizona

> Box 210104 Tucson, AZ 85721

ryan@ece.arizona.edu

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- in addition to presenting LDPC codes in his seminal work in 1960, Gallager also provided a decoding algorithm that is effectively optimal
- since that time, other researchers have independently discovered that algorithm and related algorithms, albeit sometimes for different applications
- the algorithm iteratively computes the distributions of variables in graph-based models and comes under different names, depending on the context:
  - sum-product algorithm
  - min-sum algorithm (approximation)
  - forward-backward algorithm, BCJR algorithm (trellis-based graphical models)
  - belief-propagation algorithm, message-passing algorithm (machine learning, AI, Bayesian networks)

- the iterative decoding algorithm for turbo codes has been shown by McEliece (1998) and others to be a specific instance of the sum-product/beliefpropagation algorithm
  - the "sum-product," "belief propagation," and "message passing" all seem to be commonly used for the algorithm applied to the decoding of LDPC codes

# Example: Distributed Soldier Counting (9earl, (988))

# A. Soldiers in a line.

- Counting rule: Each soldier receives a number from his right (left), adds one for himself, and passes the sum to his left (right).
- Total number of soldiers = (incoming number) + (outgoing number)



# **B. Soldiers in a Y Formation**

• Counting rule: The "message" that soldier X passes to soldier Y is the sum of all incoming messages, plus one for soldier X, minus soldier Y's message

$$I_{X \to Y} = \sum_{Z \in n(X)} I_{Z \to X} - I_{Y \to X} + I_X$$
$$= \sum_{Z \in n(X) \setminus Y} I_{Z \to X} + I_X$$

= extrinsic information + intrinsic information

 Total number of soldiers = (message soldier X passes to soldier Y) + (message soldier Y passes to soldier X)



## C. Formation Contains a Cycle

- The situation is untenable: No viable counting strategy exists; there is also a positive feedback effect within the cycle and the count tends to infinity.
- Conclusion: message-passing decoding cannot be optimal when the codes graph contains a cycle



### **The Turbo Principle**



# The Turbo Principle Applied to LDPC Decoding

- the concept of extrinsic information is helpful in the understanding of the sumproduct/message-passing algorithm (the messages to be passed are extrinsic information)
- we envision Tanner graph edges as information-flow pathways to be followed in the iterative computation of various probabilistic quantities
- this is similar to (a generalization of) the use of trellis branches as paths in the Viterbi algorithm implementation of maximum-likelihood sequence detection/decoding

• Consider the subgraph



the information passed concerns

- $Pr(x_0 = +1 | y)$  or
- $Pr(x_0 = +1 | y) / Pr(x_0 = -1 | y)$  or
- $\log[\Pr(x_0 = +1 | \mathbf{y}) / \Pr(x_0 = -1 | \mathbf{y})]$

- The arrows indicate the situation for  $x_0 \rightarrow f_2$
- All of the information that x<sub>0</sub> possesses is sent to node f<sub>2</sub>, except for the information that node f<sub>2</sub> already possesses (extrinsic information)
- In one half-iteration of the decoding algorithm, such computations,  $x_i \rightarrow f_j$ , are made for all v-node/c-node pairs.

- In the other half-iteration, messages are passed in the opposite direction: from c-nodes to v-nodes,  $f_j \rightarrow x_i$ .
- Consider the subgraph corresponding to the other half-iteration



the information passed concerns Pr(check equation f<sub>0</sub> is satisfied)

- The arrows indicate the situation for  $f_0 \rightarrow x_4$
- node f<sub>0</sub> passes all (extrinsic) information it has available to it to each of the bit nodes x<sub>i</sub>, excluding the information the receiving node already possesses.
- only information consistent with  $c_0 + c_1 + c_2 + c_4 = 0$  is sent

- much like optimal (MAP) symbol-by-symbol decoding of trellis codes, we are interested in computing *the a posteriori probability* (APP) that a given bit in  $\overline{c}$  equals one, given the received word  $\overline{y}$  and the fact that  $\overline{c}$  must satisfy some constraints
- without loss of generality, let us focus on the decoding of bit c<sub>i</sub>; thus we are interested in computing

 $\Pr\left(c_i=1 \mid \overline{y}, S_i\right)$ 

where  $S_i$  is the event that the bits in  $\overline{c}$  satisfy the  $W_c$  parity-check equations involving  $c_i$ 

 later we will extend this to the more numerically stable computation of the <u>log</u>-<u>APP ratio</u>, also call the <u>log-likelihood ratio</u> (LLR):

$$\log\left(\frac{\Pr\left(c_{i}=0\left|\overline{y},S_{i}\right.\right)}{\Pr\left(c_{i}=1\left|\overline{y},S_{i}\right.\right)}\right)$$

# Lemma 1 (Gallager)

- consider a sequence of *m* independent binary digits  $\overline{a} = (a_1, ..., a_m)$  in which  $Pr(a_k=1) = p_k$ .
- Then the probability that  $\overline{a}$  contains an *even* number of 1's is

$$\frac{1}{2} + \frac{1}{2} \prod_{k=1}^{m} (1 - 2p_k)$$
 (\*)

• The probability that  $\overline{a}$  contains an *odd* number of 1's is one minus this value:

$$\frac{1}{2} - \frac{1}{2} \prod_{k=1}^{m} (1 - 2p_k)$$

proof: Induction on m.

# **Notation**

- V<sub>j</sub> = {v-nodes connected to c-node j}
- V<sub>j</sub> \ i = {v-nodes connected to c-node j} \ {v-node i}
- C<sub>i</sub> = {c-nodes connected to v-node i}
- C<sub>i</sub> \ j = {c-nodes connected to v-node i} \ {c-node j}
- $P_i = Pr(c_i = 1/y_i)$

# Notation (cont'd)

•  $q_{ij}(b)$  = message (extrinsic information) to be passed from

node x<sub>i</sub> to node f<sub>j</sub> regarding the probability that

 $c_i = b, \quad b \in \{0,1\}$ 

= probability that  $c_i = b$  given extrinsic information from all check nodes, except node  $f_j$ , and channel sample  $y_i$ 



# Notation (cont'd)

- $r_{ji}(b)$  = message to be passed from node  $f_j$  to node  $x_i$ 
  - = the probability of the *j*<sup>th</sup> check equation being satisfied given bit  $c_i = b$  and the other bits have separable distribution given by  $\{q_{ij'}\}_{i' \neq i}$



=========

• we now observe from Lemma 1 that

$$r_{ji}(0) = \frac{1}{2} + \frac{1}{2} \prod_{i' \in V_j \setminus i} (1 - 2q_{i'j}(1))$$

$$r_{ji}(1) = \frac{1}{2} - \frac{1}{2} \prod_{i' \in V_j \setminus i} (1 - 2q_{i'j}(1))$$

• further, observe that, assuming independence of the { *r<sub>ji</sub>(b*)},

Think of a repetition code.

$$q_{ij}(0) = (1-P_i) \prod_{j' \in C_i \setminus j} r_{j'i}(0)$$

$$q_{ij}(1) = P_i \prod_{j' \in C_i \setminus j} r_{j'i}(1)$$

- as indicated above, the algorithm iterates back and forth between  $\{q_{ij}\}$  and  $\{r_{ji}\}$ ; we already know how to pass the messages  $q_{ij}(b)$  and  $r_{ji}(b)$  around from the *Decoding Overview Section*.
- before we give the iterative decoding algorithm, we will need the following result

Lemma 2 Suppose 
$$y_i = x_i + n_i$$
, where  $n_i \sim \eta(0, \sigma^2)$  and  
 $\Pr(x_i = +1) = \Pr(x_i = -1) = \frac{1}{2}$ .  
Then  $\Pr(x_i = x | y) = \frac{1}{1 + e^{-2yx/\sigma^2}}$  (with  $x \in \{\pm 1\}$ )

proof (Bayes' rule and some algebra)

(perform looping below  $\forall i, j$  for which  $h_{ij} = 1$ )  $c_i=0$ (0) initialize:  $q_{ij}(0) = 1 - P_i = \Pr(x_i = +1 \mid y_i) = \frac{1}{1 + e^{-2y_i/\sigma^2}}$  $q_{ij}(1) = P_i = \Pr(x_i = -1 | y_i) = \frac{1}{1 + e^{2y_i/\sigma^2}}$ (1)  $r_{ji}(0) = \frac{1}{2} + \frac{1}{2} \prod_{i' \in V_{j \setminus i}} (1 - 2q_{i'j}(1))$ r<sub>ji</sub>(b)  $r_{ji}(1) = 1 - r_{ji}(0)$ 

### Sum-Product Algorithm Summary (cont'd)



where the constants  $K_{ij}$  are chosen to ensure  $q_{ij}(0) + q_{ij}(1) = 1$ 

### (3) Compute $\forall i$

$$Q_i(0) = K_i(1-P_i) \prod_{j \in C_i} r_{ji}(0)$$

$$Q_i(1) = K_i P_i \prod_{j \in C_i} r_{ji}(1)$$

where the constants  $K_i$  are chosen to ensure  $Q_i(0) + Q_i(1) = 1$ 

(4) • 
$$\forall i$$
  $\hat{c}_i = \begin{cases} 1 \text{ if } Q_i(1) > 0.5 \\ 0 \text{ else} \end{cases}$ 

• if  $(\hat{c}H^T = \overline{0}) OR$  (# iterations = max\_iterations) OR (other stopping rule) then STOP else, go to (1)

======

### Remarks

- (a) if the graph corresponding to the H matrix contains no cycles (i.e., is a "tree"), then  $Q_i(0)$  and  $Q_i(1)$  will converge to the true a posteriori probabilities for  $c_i$ as the number of iterations tends to  $\infty$
- (b) (for good LDPC codes) the algorithm is able to detect an "uncorrected" codeword with near-unity probability (step (4)), unlike turbo codes [MacKay]
- (c) this algorithm is applicable to the binary symmetric channel where  $q_{ij}(b) = \Pr(c_i = b \mid y_i), \quad b, y_i \in \{0,1\}, \text{ still holds; we can also extend it to the}$ binary erasure channel, fading channels, etc.

 as with the probability-domain Viterbi and BCJR algorithms, the probabilitydomain message-passing algorithm suffers because

1) multiplications are involved (additions are less costly to implement)

2)many multiplications of probabilities are involved which could become numerically unstable (imagine a very long code with 50-100 iterations)

 thus, as with the Viterbi and BCJR algorithms, a log-domain version of the message-passing algorithm is to be preferred • to do so, we first define the following LLR's:

$$\begin{array}{l} & \swarrow c_i = 0 \\ L(c_i) & \equiv \log \frac{\Pr(x_i = +1 | y_i)}{\Pr(x_i = -1 | y_i)} \\ & \swarrow \\ & c_i = 1 \end{array}$$

$$L(r_{ji}) \equiv \frac{\log \frac{r_{ji}(0)}{r_{ji}(1)}}{$$

$$L(q_{ij}) \equiv \log \frac{q_{ij}(0)}{q_{ij}(1)}$$

$$L(Q_i) \equiv \log \frac{Q_{ij}(0)}{Q_{ij}(1)}$$

• we will also need the following result (trivial to show):

$$\tanh\left(\frac{1}{2}\log(p_0/p_1)\right) = p_0 - p_1 \\ = 1 - 2p_1 \quad (*)$$

• the initialization step thus becomes

$$L(q_{ij}) = L(c_i) = \log \frac{\left(1 + e^{-2y_i/\sigma^2}\right)^{-1}}{\left(1 + e^{2y_i/\sigma^2}\right)^{-1}}$$
  
=  $2y_i/\sigma^2$ 

• for step (1), we first rearrange the  $r_{ji}(0)$  equation:

$$\begin{aligned} 2r_{ji}(0) &= 1 + \prod_{i' \in V_j \setminus i} \left(1 - 2q_{i'j}(1)\right) \\ \Rightarrow 1 - 2r_{ji}(1) &= \prod_{i' \in V_j \setminus i} \left(1 - 2q_{i'j}(1)\right) \end{aligned}$$

• from (\*) on the previous page

$$\tanh\left(\frac{1}{2}L(r_{ji})\right) = \prod_{i' \in V_j \setminus i} \tanh\left(\frac{1}{2}L(q_{i'j})\right)$$
(\*\*)

or

$$L(r_{ji}) = 2 \tanh^{-1} \left( \prod_{i' \in V_j \setminus i} \tanh\left(\frac{1}{2}L(q_{i'j})\right) \right)$$
- the problem with these expressions is that we are still left with a product
- we can remedy this as follows (Gallager):

rewrite  $L(q_{i'j})$  as

$$L(q_{i'j}) = \alpha_{ij}\beta_{ij}$$

where

$$\begin{aligned} \alpha_{ij} &\equiv sign(L(q_{ij})) \\ \beta_{ij} &\equiv \left| L(q_{ij}) \right| \end{aligned}$$

• then (\*\*) on the previous page can be written as

$$\tanh\left(\frac{1}{2}L(r_{ij})\right) = \prod_{i'} \alpha_{ij} \cdot \prod_{i'} \tanh\left(\frac{1}{2}\beta_{i'j}\right)$$

• we then have

$$L(r_{ji}) = \left(\prod_{i'} \alpha_{ij}\right) \cdot 2 \tanh^{-1} \prod_{i' \in \mathbb{N}} \operatorname{Im}\left(\frac{1}{2}\beta_{i'j}\right)$$

$$= \left(\prod_{i'} \alpha_{ij}\right) 2 \tanh^{-1} \log^{-1} \sum_{i'} \log \tanh\left(\frac{1}{2}\beta_{i'j}\right)$$

$$= \left(\prod_{i'} \alpha_{ij}\right) \phi \left(\sum_{i' \in R_{j/i}} \phi(\beta_{i'j})\right)$$

where we have defined

$$\phi(x) \equiv -\log \tanh\left(\frac{1}{2}x\right) = \log \frac{e^x + 1}{e^x - 1}$$

and used the fact that  $\phi^{-1}(x) = \phi(x)$  when x > 0:

$$\phi(\phi(x)) = \log \frac{e^{\phi(x)} + 1}{e^{\phi(x)} - 1} = x$$

• the function  $\phi(x)$  is fairly well behaved, it looks like



and so may be implemented via look-up table

• for step (2), we simply divide the  $q_{ij}(0)$  eqn by the  $q_{ij}(1)$  eqn and take the log of both sides to obtain

$$L(q_{ij}) = L(c_i) + \sum_{j' \in C_i / j} L(r_{j'i})$$

• step (3) is similarly modified

(perform looping below  $\forall i, j$  for which  $h_{ij} = 1$ )

(0) initialize:

$$L(q_{ij}) = L(c_i) = 2y_i / \sigma^2$$

(1) 
$$L(r_{ji}) = \begin{pmatrix} \prod_{i' \in V_{j \setminus i}} \alpha_{i'j} \end{pmatrix} \cdot \phi \begin{pmatrix} \sum_{i' \in V_{j \setminus i}} \phi(\beta_{i'j}) \end{pmatrix}$$

$$f_{j}$$

$$m_{1}^{(\nu)} m_{2}^{(\nu)} m_{3}^{(\nu)} \dots m_{3}^{(c)}$$

$$x_{0} x_{1} x_{2} x_{1} x_{1}$$

where

$$\alpha_{ij} \equiv sign(L(q_{ij}))$$
  

$$\beta_{ij} \equiv |L(q_{ij})|$$
  

$$\phi(x) \equiv -\log \tanh(x/2)$$
  

$$= \log \frac{e^{x} + 1}{e^{x} - 1}$$

(2) 
$$L(q_{ij}) = L(c_i) + \sum_{j' \in C_i \setminus j} L(r_{j'i})$$

$$f_0 \qquad f_1 \qquad f_j$$

$$m_1^{(c)} \qquad m_1^{(v)}$$

$$m_i$$

(3) 
$$L(Q_i) = L(c_i) + \sum_{j \in C_i} L(r_{ji})$$

 $(0) \bullet \forall i,$ 

$$\hat{c}_i = \begin{cases} 1 & \text{if } L(Q_i) < 0\\ 0 & \text{else} \end{cases}$$

• if  $(\hat{c}H^T = \bar{0}) OR$  (# iterations = max\_iterations) OR (other stopping rule) then STOP else, go to (1)

=========

1. initialize the channel messages for each v-node via

 $m_0 = 2y_i / \sigma^2$  (all other messages set to zero);



**4.** compute 
$$M^{(v)} = m_0 + \sum_{k=1}^{d_v} m_k^{(c)}$$
 and  $\hat{x} = sign(M^{(v)})$  for each code bit to obtain  $\hat{x}$  (hence  $\hat{c}$ );

**5.** if  $(\hat{c}H^T = \overline{0})$  OR (# iterations = max\_iterations) OR (other stopping rule), STOP, else go to step 2.

#### Comments

- 1. The order of the steps in the above algorithm summary is different than the summary given earlier in part to show that equivalent variations on the algorithm exist
- 2. In fact, the following ordering of the steps saves some computations (with a modification to Step 2):

Step 1

Step 4

Step 5

Step 2' This step is modified as:  $m^{(v)} = M^{(v)} - m_{d_v}^{(c)}$ 

(this is an extrinsic information calculation) Step 3 (and then go up to Step 4)

## Example

consider an (8,4) product code (d<sub>min</sub>=4) composed of a (3, 2) single parity check code (d<sub>min</sub>=2) along rows and columns:



• thus,

<b>C</b> <sub>2</sub>	=	<b>C</b> <sub>0</sub> +C <sub>1</sub>
<b>C</b> 5	=	<b>C</b> <sub>3</sub> +C <sub>4</sub>
<b>C</b> <sub>6</sub>	=	<b>C</b> <sub>0</sub> + <b>C</b> <sub>3</sub>
<b>C</b> <sub>7</sub>	=	<b>C</b> <sub>1</sub> + <b>C</b> <sub>4</sub>

from which

$$H = \begin{bmatrix} 1 & 1 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 & 0 & 0 \\ 1 & 0 & 0 & 1 & 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 & 1 & 0 & 0 & 1 \end{bmatrix}$$

• the graph corresponding to H is



 note that the code is neither low-density nor regular, but it will suffice to demonstrate the decoding algorithm • the codeword we choose for this example is

• the received word  $\overline{y}$  is

so that there are sign errors in  $y_0$  and  $y_4$ 

• initialization (assume  $\sigma^2=0.5$ ):

$$\forall i, j \text{ for which } h_{ij} = 1$$
:

## set

$$L(q_{ij}) = L(c_i) = 2y_i / \sigma^2$$

# we obtain $[LQ \equiv (L(Q_0), ..., L(Q_7))]$ :

```
iteration = 1
L_0 = -1.2002 - 1.8953 - 3.3092 - 0.0306 - 1.0597 - 2.9008 - 0.9439 - 4.2042
c=1 1 1 1 1 1 1 1
iteration = 2
LQ = 1.5499 1.4922 -3.3721 1.1913 0.1455 -3.5547 -1.5889 -4.8064
c = 0 0 1 0 0 1 1 1
iteration = 3
L_0 = -0.9605 0.1568 -3.3680 -0.5354 -1.4442 -2.9399 -0.7545 -4.6958
c = 1 0 1 1 1 1 1 1
iteration = 4
LQ = -0.1229 1.0031 -3.5876 1.7531 0.3659 -3.9473 -1.6520 -4.8420
c = 1 0 1 0 0 1 1 1
iteration = 5
L_0 = -1.1331 - 0.3222 - 3.3854 0.6521 - 1.1379 - 3.0733 - 1.4512 - 4.5529
c = 1 1 1 0 1 1 1 1
iteration = 6
LQ = 0.1830 1.3318 -3.6083 1.3031 -0.5077 -3.4307 -1.6673 -4.8708
c = 0 0 1 0 1 1 1 1
iteration = 7
LQ = -1.0455 0.6718 -3.4495 0.3697 -1.3064 -3.0952 -1.2390 -4.8631
c = 1 0 1 0 1 1 1 1
```

• consider the update equation for  $L(r_{ji})$  in the log-domain decoder:

$$L(r_{ji}) = \left(\prod_{i'} \alpha_{ij}\right) \cdot \phi\left(\sum_{i'} \phi(\beta_{i'j})\right)$$

• notice now the shape of  $\phi(x)$ :



• we may conclude that the term corresponding to the smallest  $\beta_{ji}$  in the above summation dominates so that

$$\phi \left( \sum_{i'} \phi (\beta_{i'j}) \right) \simeq \phi \left( \phi \left( \min_{i'} \beta_{i'j} \right) \right)$$
$$= \min_{i'} \beta_{i'j}$$

- the second equality follows from  $\phi(\phi(x)) = x$
- the min-sum algorithm is thus simply the log-domain algorithm with step (1) replaced by

(1') 
$$L(r_{ji}) = \prod_{i' \in R_{j \setminus i}} \alpha_{i'j} \cdot \min_{i' \in R_{j \setminus i}} \beta_{i'j}$$

- the min-sum algorithm converges in 10 iterations rather than 7 in the above example (since it is an approximation)
- to illustrate the simplicity of the min-sum algorithm, we change the received word in the example that follows.

# Example

$$\overline{y} = .7$$
 .5 -1.1  
 $-.4$  -1.2

• assuming again 
$$\sigma^2 = 0.5$$
,

$$\{L(c_i)\}_{i=0}^7 = \begin{cases} \frac{2y_i}{\sigma^2} \\ = (-6, 3.2, -3.6, 2.8, 2, -4.4, -1.6, -4.8) \end{cases}$$

• the worked-out graph, first iteration:



 $\hat{c}H^T = \overline{0} \implies \text{STOP}$ 

\_\_\_\_\_

## The Min-Sum-with-Correction-Term Algorithm

- In a code's Tanner graph, edges leading from bit nodes to check nodes indicate that the sum of those bit must equal zero
- In the Tanner-graph based decoder, we may consider the computation of extrinsic information departing a check node to be of the form

$$\begin{split} L(r_{ji}) &= L\left(\sum_{i' \in V_{j \setminus i}} b_{i'} \right) \\ &= L\left(\cdots \oplus b_g \oplus b_h \oplus b_j \oplus b_k \oplus \cdots\right) \\ &\equiv \cdots \oplus L_g \oplus L_h \oplus L_j \oplus L_k \oplus \cdots \end{split}$$

(the operators for the last line should be  $\blacksquare$  )

• Now we imagine that we want to compute  $L(r_{ji})$  in serial fashion, accounting for the bits  $(\dots, b_g, b_h, b_j, b_k, \dots)$  one at a time

- Thus, we would only need to perform pairwise computations of the form  $L_1 \boxplus L_2$
- It can be shown that

$$L_1 \boxplus L_2 = \operatorname{sign}(L_1) \operatorname{sign}(L_2) \min(|L_1|, |L_2|) + s(L_1, L_2)$$

where

$$s(x,y) = \log\left(1 + e^{-|x+y|}\right) - \log\left(1 + e^{-|x-y|}\right)$$

• It can also be shown that s(x, y) can successfully approximated by

$$\tilde{s}(x,y) = \begin{cases} c & \text{if } |x+y| < 2 \text{ and } |x-y| > 2 |x+y| \\ -c & \text{if } |x-y| < 2 \text{ and } |x+y| > 2 |x-y| \\ 0 & \text{otherwise} \end{cases}$$

see W. E. Ryan, "An Introduction to LDPC Codes," in CRC Handbook for Coding and Signal Processing for Recording Systems (B. Vasic, ed.) CRC Press, to be published in 2004. (http://www.ece.arizona.edu/~ryan/)



Fig. 8. Performance of a cyclic EG(4095, 3367) LDPC code on a binary-input AWGN channel and three decoding algorithms.



Fig. 9. Performance of a quasi-cyclic EG(8176, 7156) LDPC code on a binary-input AWGN channel and three decoding algorithms.

## The Min-Sum-with-Attenuator Algorithm

 By plotting the min-sum LLR values against the "exact" SPA values (same data and noise), we see that the min-sum LLR values are generally too optimistic (too large, where large implies better reliability).



 By attenuating the min-sum values, the resulting LLR values are sometimes optimistic and sometimes pessimistic (relative to the SPA), but a better balance is struck, hence the improvement over the min-sum algorithm.



- It appears from the plot above that there might be some advantage to turning off the attenuation factor after 5 iterations because the values become generally pessimistic on the 6th iteration. We have not simulated this modification to the MSa.*x* algorithm.
- Also, an attenuation factor of 0.8 is better than the factor of 0.5 shown here, but clearly the factor of 0.5 is to be preferred for practical reasons.
- Below we present some simulation results for the following algorithms:
  - SPA = sum-product algorithm
  - MS = min-sum algorithm
  - MSc = min-sum with a correction term
  - •MSa = min-sum with an attenuator



 $P_b$  and  $P_{cw}$  curves for MS, MSa.5(min-sum with attenuator 0.5), MSc.5 (min-sum with correction term 0.5), and SPA, all with **50 decoding iterations**.



Repeat of the above, but with **10 iterations** and without the min-sum curves.



Repeat of the above, but with 5 iterations and without the min-sum curves.

### **Iterative Decoding on the Packet Erasure Channel**

An analogous algorithm of course applies for the binary erasure channel (BEC) or the burst erasure channel (BuEC).



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